## SQL: The Query Language

Module 3, Lectures 3 and 4

\section*{Example Instances | $\boldsymbol{R 1}$ | $\underline{\text { sid }}$ | $\underline{\text { bid }}$ | $\underline{\text { day }}$ |
| :--- | :--- | :--- | :---: |
| 22 | 101 | $10 / 10 / 96$ |  |
|  | 58 | 103 | $11 / 12 / 96$ |}

$\square$ We will use these s1 sid sname rating age instances of the Sailors and Reserves relations in our examples.

- If the key for the Reserves relation contained only the attributes sid and bid, how would the semantics differ?

S2 | sid | sname | rating | age |
| :--- | :--- | :---: | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |

Basic SQL Query

SELECT [DISTINCT] target-list FROM relation-list WHERE qualification

- relation-list A list of relation names (possibly with a range-variable after each name).
- target-list A list of attributes of relations in relation-list
■ qualification Comparisons (Attr op const or Attr1 op Attr2, where op is one of $<,>, \geq, \leq,=. \neq$ ) combined using AND, OR and NOT.
$\square$ DISTINCT is an optional keyword indicating that the answer should not contain duplicates.
Default is that duplicates are not eliminated!


## Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
- Compute the cross-product of relation-list.
- Discard resulting tuples if they fail qualifications.
- Delete attributes that are not in target-list.
- If DISTINCT is specified, eliminate duplicate rows.
$\square$ This strategy is probably the least efficient way to compute a query! An optimizer will find more efficient strategies to compute the same answers.


## Example of Conceptual Evaluation

SELECT S.sname
FROM Sailors S, Reserves R
WHERE S.sid=R.sid AND R.bid=103

| (sid) | sname | rating | age | (sid) | bid | day |
| :---: | :--- | :---: | :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 22 | 101 | $10 / 10 / 96$ |
| 22 | dustin | 7 | 45.0 | 58 | 103 | $11 / 12 / 96$ |
| 31 | lubber | 8 | 55.5 | 22 | 101 | $10 / 10 / 96$ |
| 31 | lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |
| 58 | rusty | 10 | 35.0 | 22 | 101 | $10 / 10 / 96$ |
| 58 | rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |

## A Note on Range Variables

- Really needed only if the same relation appears twice in the FROM clause. The previous query can also be written as:

SELECT S.sname
from Sailors S, Reserves R
WHERE S.sid=R.sid AND bid=103 OR

SELECT sname

It is good style, however, to use range variables always!
fROM Sailors, Reserves
where Sailors.sid=Reserves.sid AND bid=103

Find sailors who've reserved at least one boat

SELECT S.sid
FROM Sailors S, Reserves R
WHERE S.sid = R.sid
$\square$ Would adding DISTINCT to this query make a difference?

- What is the effect of replacing S.sid by S.sname in the SELECT clause? Would adding DISTINCT to this variant of the query make a difference?


## Expressions and Strings

SELECT S.age, age-5 AS age1, 2*S.age AS age2 FROM Sailors S
where S.sname like 'B_\%B'

- Illustrates use of arithmetic expressions and string pattern matching: Find triples (of ages of sailors and two fields defined by expressions) for sailors whose names begin and end with $B$ and contain at least three characters.
$\square$ AS is the way to name fields in result.
■ LIKE is used for string matching. `_' stands for any one character and`\%' stands for 0 or more arbitrary characters.


## Find sid's of sailors who've reserved a red or a green boat

$\square$ UNION: Can be used to
SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid compute the union of any AND (B.color='red' OR two union-compatible sets of tuples (which are themselves the result of SQL queries).
$\square$ If we replace OR by AND in the first version, what do we get?

- Also available: EXCEPT (What do we get if we replace UNION by EXCEPT?)
B.color='green');

SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND
R.bid=B.bid
AND B.color=‘red'

UNION
SELECT S.sid
FROM Sailors S, Boats B, Reserves
R
WHERE S.sid=R.sid AND
R.bid=B.bid

AND B.color='green’;

## Find sid's of sailors who've reserved a red and a green boat

SELECT S.sid
FROM Sailors S, Boats B1, Reserves R1, Boats B2, Reserves R2
INTERSECT: Can be
used to compute the intersection of any two union-compatible sets of tuples.
Included in the
SQL/92 standard, but some systems don't support it.
Contrast symmetry of the UNION and
INTERSECT queries with how much the other versions differ.

SELECT S.sid
FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'
INTERSECT
SELECT S.sid
FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='green';

## Nested Queries

Find names of sailors who've reserved boat \#103:

SELECT S.sname fROM Sailors S<br>where S.sid in (select R.sid<br>FROM Reserves R<br>Where R.bid=103)

- A very powerful feature of SQL: a WHERE clause can itself contain an SQL query! (Actually, so can FROM and HAVING clauses.)
- To find sailors who've not reserved \#103, use NOT IN.
$\square$ To understand semantics of nested queries, think of a nested loops evaluation: For each Sailors tuple, check the qualification by computing the subquery.


## Nested Queries with Correlation

Find names of sailors who've reserved boat \#103:
select S.sname
fROM Sailors S
WHERE EXISTS (SELECT *
FROM Reserves R
WHERE R.bid=103 AND S.sid=R.sid)

- EXISTS is another set comparison operator (predicate), like IN.
- If UNIQUE is used, and * is replaced by R.bid, finds sailors with at most one reservation for boat \#103. (UNIQUE checks for duplicate tuples; * denotes all attributes. Why do we have to replace * by R.bid?)
- Illustrates why, in general, subquery must be recomputed for each Sailors tuple.


# More on Set-Comparison Operators 

- We've already seen predicates IN, EXISTS and unique. Can also use NOT IN, NOT EXISTS and NOT UNIQUE.
- Also available: op ANY, op ALL, op IN
$\square$ Find sailors whose rating is greater than that of some sailor called Horatio:

SELECT *
FROM Sailors S
WHERE S.rating > ANY (SELECT S2.rating FROM Sailors S2
Where S2.sname='Horatio')

## Rewriting INTERSECT Queries Using IN

Find sid's of sailors who've reserved both a red and a green boat:
select S.sid
fRom Sailors S, Boats B, Reserves R
Where S.sid=R.sid And R.bid=B.bid And B.color='red’
and S.sid in (SELECT S2.sid
from Sailors S2, Boats B2, Reserves R2 WHERE S2.sid=R2.sid AND R2.bid=B2.bid AND B2.color=‘green');

- Similarly, EXCEPT queries re-written using NOT IN.
$\square$ To find names (not sid's) of Sailors who've reserved both red and green boats, just replace S.sid by S.sname in SELECT clause. (What about INTERSECT query?)


## Division in SQL

Find sailors who've reserved all boats.
$\square$ Let's do it the hard way, without EXCEPT: from Sailors S Sailors S such that ...
where not exists (select b.bid
from Boats B
Where not exists (select R.bid
there is no boat $B$ without ... FROM Reserves R
WHERE R.bid=B.bid
a Reserves tuple showing S reserved B AND R.sid=S.sid))

## Aggregate Operators

- Significant extension of relational algebra.

> COUNT (*)
> COUNT ( [DISTINCT] A)
> SUM ([DISTINCT] A)
> AVG ([DISTINCT] A)
> MAX (A)
> MIN (A)
> single column

SELECT COUNT (*) SELECT S.sname FROM Sailors S FROM Sailors S

WHERE S.rating = (SELECT MAX(S2.rating)
SELECT AVG (S.age) from Sailors S2)
from Sailors S
WHERE S.rating=10
SELECT
COUNT (DISTINCT S.rating)
SELECT AVG (DISTINCT S.age) from Sailors S

FROM Sailors S
where S.sname='Bob’

## Find name and age of the oldest sailor(s)

- The first query is illegal! (We'll look into the reason

SELECT S.sname, MAX (S.age) from Sailors S
a bit later, when we discuss GROUP BY.)
$\square$ The third query is
SELECT S.sname, S.age
from Sailors S equivalent to the second WHERE S.age = query, and is allowed in the SQL/92 standard, but
fROM Sailors S2) is not supported in some systems.

SELECT S.sname, S.age from Sailors S
where (SElect max (S2.age) FROM Sailors S2)= S.age

## GROUP BY and HAVING

- So far, we've applied aggregate operators to all (qualifying) tuples. Sometimes, we want to apply them to each of several groups of tuples.
- Consider: Find the age of the youngest sailor for each rating level.
- In general, we don't know how many rating levels exist, and what the rating values for these levels are!
- Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (!):

$$
\begin{array}{ll} 
& \text { SELECT MIN (S.age) } \\
\text { For } i=1,2, \ldots, 10: & \begin{array}{l}
\text { FROM Sailors S } \\
\text { WHERE S.rating }=i
\end{array}
\end{array}
$$

## Queries With GROUP BY and HAVING

| SELECT | [DISTINCT] target-list |
| :--- | :--- |
| FROM | relation-list |
| WHERE | qualification |
| GROUP BY | grouping-list |
| HAVING | group-qualification |

$\square$ The target-list contains (i) attribute names
(ii) terms with aggregate operations (e.g., MIN (S.age)).

- The attribute list (i) must be a subset of grouping-list. Intuitively, each answer tuple corresponds to a group, and these attributes must have a single value per group. (A group is a set of tuples that have the same value for all attributes in grouping-list.)


## Conceptual Evaluation

$\square$ The cross-product of relation-list is computed, tuples that fail qualification are discarded, 'unnecessary' fields are deleted, and the remaining tuples are partitioned into groups by the value of attributes in grouping-list.
$\square$ The group-qualification is then applied to eliminate some groups. Expressions in group-qualification must have a single value per group!

- In effect, an attribute in group-qualification that is not an argument of an aggregate op also appears in grouping-list. (SQL does not exploit primary key semantics here!)
- One answer tuple is generated per qualifying group.


## Find the age of the youngest sailor with age $\geq 18$, for each rating with at least 2

 such sailorsSELECT S.rating, MIN(S.age) FROM Sailors S
WHERE S.age $>=18$
GROUP BY S.rating HAVING COUNT (*) > 1

- Only S.rating and S.age are mentioned in the SELECT, GROUP BY or HAVING clauses; other attributes `unnecessary'.
- 2nd column of result is unnamed. (Use AS to name it.)

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 |
| 31 | lubber | 8 | 55.5 |
| 71 | zorba | 10 | 16.0 |
| 64 | horatio | 7 | 35.0 |
| 29 | brutus | 1 | 33.0 |
| 58 | rusty | 10 | 35.0 |


| rating | age |  |  |
| :---: | :---: | :---: | :---: |
| 1 | 33.0 |  |  |
| 7 | 45.0 |  |  |
| 7 | 35.0 |  |  |
| 8 | 55.5 | rating  <br> 7 35.0 <br> 10 35.0 Answer relation |  |

# For each red boat, find the number of reservations for this boat 

select B.bid, count (*) AS scount FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red' GROUP BY B.bid

- Grouping over a join of three relations.
$\square$ What do we get if we remove B.color='red' from the WHERE clause and add a HAVING clause with this condition?
$\square$ What if we drop Sailors and the condition involving S.sid?


# Find the age of the youngest sailor with age $>18$, for each rating with at least 2 sailors (of any age) 

SELECT S.rating, MIN (S.age)

fROM Sailors S
Where S.age > 18
GROUP BY S.rating
having 1 < (SELECT COUNT (*)
fROM Sailors S2
WHERE S.rating=S2.rating)

- Shows HAVING clause can also contain a subquery.
- Compare this with the query where we considered only ratings with 2 sailors over 18!
$\square$ What if HAVING clause is replaced by:
- HAVING COUNT(*) >1


# Find those ratings for which the average age is the minimum over all ratings 

${ }_{\square}$ Aggregate operations cannot be nested! WRONG:
SELECT S.rating
FROM Sailors S
WHERE S.age = (SELECT MIN (AVG (S2.age)) FROM Sailors S2)

- Correct solution (in SQL/92):

SELECT Temp.rating, Temp.average
FROM (SELECT S.rating, AVG (S.age) AS average FROM Sailors S
GROUP BY S.rating) AS Temp
Where Temp.average =
(SELECT MIN(Temp.average) FROM Temp)

## Null Values

- Field values in a tuple are sometimes unknown (e.g., a rating has not been assigned) or inapplicable (e.g., no spouse's name).
- SQL provides a special value null for such situations.
© The presence of null complicates many issues. E.g.:
- Special operators needed to check if value is/is not null.
- Is rating>8 true or false when rating is equal to null? What about AND, OR and NOT connectives?
- We need a 3-valued logic (true, false and unknown).
- Meaning of constructs must be defined carefully. (e.g., where clause eliminates rows that don't evaluate to true.)
- New operators (in particular, outer joins) possible/needed.


## Embedded SQL

- SQL commands can be called from within a host language (e.g., C or COBOL) program.
- SQL statements can refer to host variables (including special variables used to return status).
- Must include a statement to connect to the right database.
$\square$ SQL relations are (multi-) sets of records, with no a priori bound on the number of records. No such data structure in C.
- SQL supports a mechanism called a cursor to handle this.


## Cursors

- Can declare a cursor on a relation or query statement (which generates a relation).
- Can open a cursor, and repeatedly fetch a tuple then move the cursor, until all tuples have been retrieved.
- Can use a special clause, called ORDER BY, in queries that are accessed through a cursor, to control the order in which tuples are returned.
- Fields in ORDER BY clause must also appear in SELECT clause.
- The ORDER BY clause, which orders answer tuples, is only allowed in the context of a cursor.
$\square$ Can also modify/delete tuple pointed to by a cursor.


# Cursor that gets names of sailors who've reserved a red boat, in alphabetical order 

EXEC SQL DECLARE sinfo CURSOR FOR
SELECT S.sname
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red' ORDER BY S.sname
$\square$ Note that it is illegal to replace S.sname by, say, S.sid in the order by clause! (Why?)

- Can we add S.sid to the select clause and replace S.sname by S.sid in the order by clause?


## Embedding SQL in C: An Example

char SQLSTATE[6];
EXEC SQL BEGIN DECLARE SECTION
char c_sname[20]; short c_minrating; float c_age;
EXEC SQL END DECLARE SECTION
c_minrating = random();
EXEC SQL DECLARE sinfo CURSOR FOR
SELECT S.sname, S.age FROM Sailors S
WHERE S.rating > :c_minrating
ORDER BY S.sname;
do \{
EXEC SQL FETCH sinfo INTO :c_sname, :c_age; printf("\%s is \%d years old\n", c_sname, c_age);
\} while (SQLSTATE != "02000');
EXEC SQL CLOSE sinfo;

## Summary

$\square$ An important factor in the early acceptance of the relational model; more natural than earlier, procedural query languages.

- Relationally complete; in fact, significantly more expressive power than relational algebra.
- Even queries that can be expressed in RA can often be expressed more naturally in SQL.
- Many alternative ways to write a query; optimizer should look for most efficient evaluation plan.
- In practice, users need to be aware of how queries are optimized and evaluated for best results.

